

Annex to Form PCT/ISA/206
COMMUNICATION RELATING TO THE RESULTS
OF THE PARTIAL INTERNATIONAL SEARCH

International Application No
PCT/US 01/22058

1. The present communication is an Annex to the invitation to pay additional fees (Form PCT/ISA/206). It shows the results of the international search established on the parts of the international application which relate to the invention first mentioned in claims Nos.:

see 'Invitation to pay additional fees'
2. This communication is not the international search report which will be established according to Article 18 and Rule 43.
3. If the applicant does not pay any additional search fees, the information appearing in this communication will be considered as the result of the international search and will be included as such in the international search report.
4. If the applicant pays additional fees, the international search report will contain both the information appearing in this communication and the results of the international search on other parts of the international application for which such fees will have been paid.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category ^o	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 38973 A (CORIXA CORP) 5 August 1999 (1999-08-05) page 1 -page 45; claims; examples ---	1-19
X	GÜRE ET AL: "Human lung cancer antigens recognized by autologous antibodies: definition of a novel cDNA derived from the tumor suppressor gene locus on chromosome 3p21.3" CANCER RESEARCH, AMERICAN ASSOCIATION FOR CANCER RESEARCH, BALTIMORE, MD, US, vol. 58, 1 March 1998 (1998-03-01), pages 1034-1041, XP002103188 ISSN: 0008-5472 the whole document ---	1-19
X	CHEN S-L ET AL: "Isolation and characterizaton of a novel gene expressed in multiple cancers" ONCOGENE, BASINGSTOKE, HANTS, GB, vol. 12, no. 4, 15 February 1996 (1996-02-15), pages 741-751, XP002106655 ISSN: 0950-9232 the whole document ---	1-19

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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"&" document member of the same patent family

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 OF THE PARTIAL INTERNATIONAL SEARCH

International Application No
 PCT/US 01/22058

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DATABASE EMBL [Online] ID: HS1285106, 1 July 1997 (1997-07-01) "ab23f06.r1 Stratagene lung (#937210) Homo sapiens cDNA clone" Database accession no. AA488696 XP002199797 abstract ---	1-6, 8-10,17, 18
Y		7,11-16, 19
X	DATABASE EMBL [Online] 7 June 2000 (2000-06-07) "Homo sapiens cDNA" Database accession no. AW950090 XP002199798 abstract ---	1-6, 8-10,17, 18
Y		7,11-16, 19
X	DATABASE EMBL [Online] ID: HS73234, 5 March 1995 (1995-03-05) Database accession no. T63732.1 XP002199799 abstract -& HILLIER L ET AL: "GENERATION AND ANALYSIS OF 280,000 HUMAN EXPRESSED SEQUENCE TAGS" GENOME RESEARCH, COLD SPRING HARBOR LABORATORY PRESS, US, vol. 6, 1996, pages 807-828, XP002942261 ISSN: 1088-9051 the whole document ---	1-6, 8-10,17, 18
Y		7,11-16, 19
P,X	WO 00 55375 A (ALPHAGENE INC) 21 September 2000 (2000-09-21) SEQ ID NO: 87, SEQ ID NO: 88 page 443; claim 96 ---	1-19
A	ZANGEMEISTER-WITTKE U ET AL: "REVIEW NOVEL APPROACHES TO THE TREATMENT OF SMALL-CELL LUNG CANCER" CMLS CELLULAR AND MOLECULAR LIFE SCIENCES, BIRKHAUSER VERLAG, BASEL, CH, vol. 55, no. 12, September 1999 (1999-09), pages 1585-1598, XP000960960 ISSN: 1420-682X the whole document -----	1-19

Patent Family Annex

Information on patent family members

International Application No

PCT/US 01/22058

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 9938973	A 05-08-1999	AU	2344399 A	16-08-1999
		BR	9907259 A	22-01-2002
		CA	2319117 A1	05-08-1999
		CN	1292029 T	18-04-2001
		EP	1051489 A2	15-11-2000
		NO	20003853 A	27-09-2000
		WO	9938973 A2	05-08-1999
		ZA	9900693 A	21-10-1999

WO 0055375	A 21-09-2000	AU	3899700 A	04-10-2000
		WO	0055375 A1	21-09-2000
		AU	2883500 A	04-09-2000
		EP	1153121 A2	14-11-2001
		WO	0049134 A1	24-08-2000

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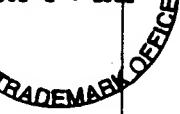
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Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL
FORM(To be used for all correspondence
after initial filing)

Application Number	09/849,626
Filing Date	May 3, 2001
First Named Inventor	Chaitanya S. Bangur
Group Art Unit	1645
Examiner Name	Not Assigned
Attorney Docket No.	210121.478C16

1645

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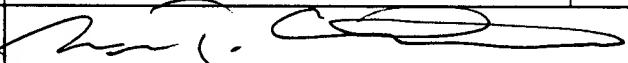
ENCLOSURES (check all that apply)

JUL 30 2002

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> CD(s), Number of CD(s) TECH CENTER 1600/2900
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement; Form PTO-1449	<input type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Cited References (7)	<input type="checkbox"/> Statement under 37 CFR 3.73(b)	<u>Copy of Invitation to Pay Additional Fees for PCT/US01/22058</u>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<hr/> <hr/>
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	
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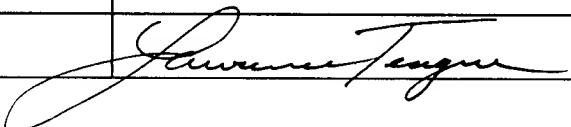
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	William T. Christiansen, Ph.D. 44,614	 00500
Signature		
Date	July 19, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name	Lawrence Teague	
Signature		Date: July 19, 2002



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Chaitanya S. Bangur et al.
Application No. : 09/849,626
Filed : May 3, 2001
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND
DIAGNOSIS OF LUNG CANCER

Art Unit : 1645
Docket No. : 210121.478C16

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Commissioner for Patents
Washington, DC 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Documents on the attached Form PTO-1449 were cited in an Invitation to Pay Additional Search Fees for corresponding foreign application PCT/US01/22058, mailed June 12, 2002, a copy of which is enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

I hereby certify that each of the references set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



William T. Christiansen, Ph.D.
Registration No. 44,614

WTC:CDL:jt

Enclosures:

- Postcard
- Form PTO-1449
- Form PTB/SB/21
- Copies of Cited References (7)
- Copy of Invitation to Pay Additional Fees for PCT/US01/22058

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